



*DFW*  
Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: NANGALIA et al.

Application Serial No.: 10/727,418

Filing Date: December 4, 2003

For: METHODS AND APPARATUS FOR  
ROUTING SECURITIES ORDERS

)  
)  
) Group Art Unit: 3621

)  
) Examiner: Cangialosi, Salvatore A.

)  
) **RESPONSE TRANSMITTAL**

)  
) Docket No.: G08.071

)  
) **PTO Customer Number 28062**  
) Buckley, Maschoff & Talwalkar LLC  
) Five Elm Street  
) New Canaan, CT 06840  
)  
)  
)  
)

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 29, 2005.

Dated: August 29, 2005

By:

*Edith Martin*  
Edith Martin

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are:

1. ☒ Amendment/Response
2. ☒ Additional Enclosures: Acknowledgement Postcard

FEE CALCULATION


For	Current	Prev. Paid	No. Extra	Rate	Fee
Total Claims	20	- 54	0	\$ 50.00	\$ 0.00
Indep. Claims	6	- 21	0	\$ 200.00	\$ 0.00
Multiple Dependent Claims (add \$300.00 if applicable)					\$ 0.00
No Petition for Extension of Time is Required					\$ 0.00
OTHER FEE (specify purpose):					\$ 0.00
TOTAL FEE					\$ 0.00

The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1852 as described below. A duplicate copy of this sheet is enclosed.

- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,

August 29, 2005  
Date

  
Nathaniel Levin  
Registration No. 34,860  
Buckley, Maschoff & Talwalkar LLC  
Five Elm Street  
New Canaan, CT 06840  
(203) 972-3460



Patent

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: NANGALIA et al.

Application Serial No.: 10/727,418

Filing Date: December 4, 2003

For: METHODS AND APPARATUS FOR  
ROUTING SECURITIES ORDERS

)  
) Group Art Unit: 3621  
)  
) Examiner: Cangialosi, Salvatore A.  
)  
) **AMENDMENT and RESPONSE** to June 8,  
) 2005 Non-Final Office Action  
)  
) Attorney Docket No.: G08.071  
)  
) **PTO Customer Number 28062**  
) Buckley, Maschoff & Talwalkar LLC  
) Five Elm Street  
) New Canaan, CT 06840  
)  
)

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 29, 2005.

Dated: August 29, 2005

By:

  
Edith Martin

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Non-Final Office Action mailed June 8, 2005, please amend the above-identified application as follows.

Amendments to the claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 9 of this paper.